

Principal Investigator: \_\_\_\_\_ Sponsor: \_\_\_\_\_ AWD#: \_\_\_\_\_  
 Title of Sponsored Research Project \_\_\_\_\_  
 Contract Number \_\_\_\_\_  
 Department and School/College Affiliation \_\_\_\_\_

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**PART I: CONTRACT REVIEW (To be completed by Pre-Award Representative)**

Does the contract contain any clause that:

- a. references U.S. export regulations?  YES  NO
- b. includes nationality-based restrictions on hiring, project participation of any kind, and/or access to project information? (This includes any nationality-based pre-qualifications.)  YES  NO
- c. addresses the transfer of items/services of value to embargoed nations?  YES  NO  
 The list can be found: <http://www.ustras.gov/offices/enforcement/ofac/programs/>
- d.1. addresses the receipt and/or use of proprietary information from any source? If so, does it identify this proprietary information as export controlled?  YES  NO
- d.2. addresses the receipt and/or use of export controlled research tools to conduct the research? Tools may include laboratory instruments, materials, software, etc.  YES  NO
- e.1. addresses national security concerns?  YES  NO
- e.2. If yes, is the educational alternative in place?  YES  NO
- f. grants the Sponsor a right to prepublication review and approval? (This does not include a review period of 90 days or fewer exclusively for purposes of protecting intellectual property.)  YES  NO
- g. Allows the Sponsor to claim any research result whether information or a commodity, as proprietary or trade secret?  YES  NO
- h. contains any of the following FARs: DFAR 252.204-7000 Disclosure of Information, FAR 52.204-2 Security Requirements, ARL 52.004-4400 Foreign Nationals Performing under contract, AFMC 5352.227-9000 Export-Controller Data Restrictions.  YES  NO

Completed By: \_\_\_\_\_ Pre-Award Representative Signature \_\_\_\_\_ Date \_\_\_\_\_

IF YOU ANSWERED YES TO ANY OF THE ABOVE QUESTIONS, PLEASE CONTACT THE EXPORT CONTROL ADMINISTRATOR FOR FURTHER REVIEW. (305-348-4726) NOTE: RESTRICTIVE CLAUSES MAY TRIGGER IMMEDIATE EXPORT CONTROL IMPLICATIONS THAT SHOULD BE IDENTIFIED IN THE PRE-AWARD PROCESS.

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**Part II: Technical Review to be completed by Export Control Administrator.**

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**CERTIFICATION (Please complete and return to the Pre-Award Representative assigned to this Project)**

I have reviewed the relevant EAR and ITAR regulations and determined that Sponsored Research Contract Number \_\_\_\_\_  
 Select the appropriate response:

- Does not involve technologies that are covered by either the EAR or the ITAR;
- May involve technologies that are covered by either the EAR or the ITAR;
- Is dominated by EAR-governed technology, specifically (*identify category by name and section*)
- Includes discrete, easily identifiable and separable research components that are within the scope of an ITAR-governed technology, specifically (*identify category by name and section*)
- Includes discrete, easily identifiable and separable research components that are within the scope of an EAR-governed technology, specifically (*identify category by name and section*)

1. By EAR – *Specify sections.* \_\_\_\_\_  
 2. BY ITAR – *Specify sections.* \_\_\_\_\_

Printed Name, Principle Investigator \_\_\_\_\_ Signature \_\_\_\_\_ Date \_\_\_\_\_